



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Paul C. Wacker

Serial No.:

10/632,788

Examiner: unknown

Filing Date:

August 1, 2003

Group Art: unknown

For:

METHOD AND APPARATUS FOR CONTROLLING HUMIDITY WITH A HEATER

UNIT AND A COOLER UNIT

Docket No.: H0005416 (1161.1128101)

TRANSMITTAL SHEET

Assistant Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents, PO Box 1450, Alexandria, VA 2/2313-1450, or this 11th day of December, 2003.

Bv

Brian N. Tufte

We are transmitting herewith the attached:

[X] Information Disclosure Statement, Form PTO-1449 and 1 cited non-US patent

reference.

[X] Please charge any deficiencies or credit any overpayment in the enclosed fees to

Deposit Account No. 50-0413.

Brian N. Tuffe, Reg. No. 38,638

CROMPTON, SEAGER/& TUFTE, LLC

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INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Assistant Commissioner for Patents

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Brian N. Tuft

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each non-US patent or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Paul C. Wacker

By his attorney

Dated: December 11, 2003

Brian N/Tufte, Reg. No. 38,638

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OIPE		
FORM PTO-1449	Atty. Docket No.: H0005416 (1161.1128101)	Serial No.: 10/632,788
TENER AND BUILDING FOR	Applicant: Paul C. Wacker	r
LIST OF ATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION		
DISCLOSURE STATEMENT	Filing Date	Group Art:
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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
	1,837,798	12/1993	Shipley	
	2,111,618	3/1938	Erbach	
	2,195,781	4/1940	Newton	
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	4,281,519	8/1981	Spath et al.	

EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SIPE		
FORM PTO-1449	Atty. Docket No.: H0005416 (1161.1128101)	Serial No.: 10/632,788
LIST OF PASENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION	Applicant: Paul C. Wacker	
APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Filing Date	Group Art:
Page 2 of 3	August 1, 2003	unknown

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
-	4,290,480	9/1981	Sulkowski	
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	5,353,606	10/1994	Yoho et al.	
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	5,493,871	2/1996	Eiermann	

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Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
	5,544,809	8/1996	Keating et al.	
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	3415000	10/198	5 DE		abstract

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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